



Forest Carbon Partnership Facility

Session 5. **FCPF Program Evaluation**

Ninth Meeting of the Participants Committee (PC9)

Oslo, Norway

June 21, 2011

Structure of the Session (150 minutes)

Morning Session: 10: 45 AM-12:30

1. Update FCPF Evaluation (FMT)
2. Presentation of PC working group discussions of Evaluation Recommendations (Alain Lafontaine, Baastel with inputs from PC working group member representatives; Germany and Mexico)
3. FMT presentation of World Bank Management Response
4. Plenary discussion of strategic level recommendations relevant to FCPF Readiness Fund
5. Presentation of proposals on select actionable recommendations for PC decision (FMT)

Afternoon Session: 2:15 PM to 3:00 PM

1. Plenary discussion on actionable recommendations (PC)
2. Comments and guidance on next steps (PC)

Progress since PC8 (1)

- At PC8
 - Interim evaluation results were presented by Alain Lafontaine
 - PC working group to discuss the report recommendations with the aim to facilitate discussions at PC9 (Oslo) was established
 - PC requested World Bank Management response to the evaluation report

Progress since PC8 (2)

- Since PC8
 - Draft evaluation report made available for stakeholder comments (May 19 to June 2)
 - Comments incorporated and report finalized. Final evaluation report posted on FCPF website (June 13)
 - PC working group completed discussions of recommendations in 3 teleconferences (facilitated by Alain Lafontaine as per decision at PC8)
 - Evaluation report presented to World Bank Management by Alain Lafontaine (May 17)
 - Management response to the report finalized after discussion with Management and other relevant staff


Relevant Documents

Documents available at this meeting

- Final evaluation report (English)
- Executive summary of evaluation report (English, French and Spanish)
- Report of PC working group discussions (English, French and Spanish)
- World Bank Management response to the report (English)

Documents to be available after PC9

- French and Spanish versions of complete evaluation report
- Published version of the report



*2. Presentation of PC Working Group
discussions of evaluation
recommendations

(Alain Lafontaine)*



*3. World Bank Management Response
to Evaluation Report &
Recommendations (FMT)*

General Remarks

- First evaluation is a significant and timely effort to assess the successes and challenges of the FCPF
- Management considers the evaluation as a good reflection of views of a variety of stakeholders working on REDD+ at the national and global levels
- Report good reminder that the FCPF was one of the first global initiatives established for piloting REDD+ and this context is useful in interpreting the role of the FCPF as a global partner in REDD+
- Assessment is extremely useful in providing an early insight into the added value of the FCPF to the global and national endeavors for REDD+ and should enable the FCPF to address the gaps identified in the report

General Remarks

- Management concurs with the essence of the main findings and recommendations in the report. However Management has additional views on specific issues
- Several suggestions in the report reinforce and are complemented by the lessons emerging from work in other sectors in climate change and carbon finance at the World Bank

Response to Key Issues (1)

Key Issue highlighted in the Report	Remarks
<p><i>Leadership role of the FCPF, particularly in fostering a consultative and participatory approach:</i></p>	<p>Management notes as a key achievement the catalytic role that the FCPF process has had in bringing together diverse stakeholders, including Indigenous Peoples and forest-dependent communities, and fostering a dialogue on issues pertinent to REDD+, which otherwise would not have happened</p> <p>Management remains committed to ensuring an inclusive process in the operations of the FCPF and creating an enabling environment for the participation of all relevant stakeholders</p>

Response to Key Issues (2)

Key Issue highlighted in the Report	Response
<i>Enhancing coordination and integrating REDD+ with existing programs in country:</i>	<p>Management notes the report finding /s that signify the need to leverage past experience and lessons already learned, link to existing or planned activities and build on ongoing work relevant to REDD+ to avoid duplication and make efficient use of resources</p> <p>Moving forward important that REDD+ be mainstreamed with work of ongoing development programs that are highly relevant to issues identified in the RPPs of REDD+ countries</p> <p>The degree to which FCPF-supported processes are taking into account lessons learned is useful but merits further discussion with a view to achieving the ultimately reducing rates of deforestation</p> <p>Propose to strengthen coordination efforts to programs being implemented by the Bank (IBRD, IDA, FIP and GEF) as well as other bilateral and multilateral partners</p>

Response to Key Recommendations (1)

Recommendation	Response
<p><i>Scaling up technical and financial support for measures designed to promote South-South exchange and learning:</i></p>	<p>Management agrees with the recommendation to scale up technical and financial support but it is equally important to realize economies of scale in establishing the pillars of REDD+ readiness in FCPF countries.</p> <p>There are valuable experiences from non-FCPF countries that can be tapped into. Regional cooperation is beginning to happen among REDD+ countries (both FCPF and non-FCPF). Perhaps a subsequent evaluation could assess the effectiveness of the FCPF in fostering this learning and cooperation.</p> <p>The World Bank has several avenues including dedicated trust funds through which South-South exchanges can be promoted.</p>

Response to Key Recommendations (2)

Recommendation	Response
<p><i>(i) Strengthening in-country capacity for implementation of strategic environment and social assessments</i></p> <p><i>(ii) Enhancing disbursement of FCPF grants to ensure timely access of resources by the countries</i></p>	<p>Management concurs with the observations made in the evaluation regarding the need for speeding up progress on commitment of funds to countries and providing support to REDD country Participants, including support for implementation of SESA.</p> <p>However Management would like to draw attention to the tradeoffs between setting up an inclusive and transparent process, conducting the due diligence on safeguards & ensuring country ownership on the one hand and speeding up signing of grant agreements. The right balance has to be achieved and Management remains committed to maintaining it in the best possible way.</p> <p>Approval of safeguards approach is beginning to have positive impact.</p>

Response to Key Recommendations (3)

Recommendation	Response
<i>Identifying delivery channels outside the World Bank to support REDD+ readiness</i>	<p>Management is supportive of the ongoing efforts to diversify the delivery channels for supporting FCPF REDD+ readiness processes in REDD Country Participants outside the World Bank</p> <p>Management views the purpose of diversifying Delivery Partners as not only helping to increase commitment and disbursement rates but also as providing effective REDD+ readiness delivery mechanism to participant countries based on the comparative advantages of the various Delivery Partners</p>

Response to Key Recommendations (4)

Recommendation	Response
<p><i>Look at the option for further decentralizing FMT staff to other regions beyond Africa to help foster further coordination on the ground and smoother implementation</i></p>	<p>The deployment of FMT staff needs to be viewed more broadly with the aim of providing support to REDD country participants where relevant and based on country needs. In Management's view, decentralizing the small FMT staff to the regions will not resolve the need for adequate support in countries.</p> <p>Management proposes to undertake measures to transfer the capacity on REDD+ to staff in Bank regions, thereby mainstreaming the REDD+ agenda in the Bank's portfolio.</p> <p>In addition, an assessment of other specific needs for in-country assistance will be made in collaboration with the regional staff within the World Bank and proposal for actions required will be finalized.</p>

Response to Key Recommendations (5)

Recommendation	Response
<p><i>Move away from “flat rate” commitment to Preparation and Readiness Grants, to a system that provides differentially sized grants based on agreed, transparent and universal criteria</i></p>	<p>Given that resource requirements for readiness as presented in the R-PPs far exceed the support currently provided by FCPF, in principle Management agrees with the recommendation.</p> <p>Criteria and options that could be used to assess country needs and eligibility for such differential grants could be developed by the FMT for consideration of the Participants Committee. However, the increased support, if any, should continue to cater for readiness activities covering analytical and capacity building activities, not for pilot activities.</p>

Summary

- Management positive about progress and FCPF contribution to the REDD agenda
- Management envisages PC to propose steps for operationalization of recommendations and will support this process as relevant



*4. Plenary: Recommendations relevant
to Strategic discussion of Readiness
Fund*


Plenary discussion

Discussion of recommendations relevant to strategy for FCPF Readiness Fund

Recommendation	Proposed next steps
R5: Enhanced support for CSO and IP engagement in FCPF	Views sought from PC
R6 & 7: Strengthen participation of key sectoral & non sectoral ministries in R-PP process	Views sought from PC
R8: Strengthen efforts to learn from previous experiences in forest management	Views sought from PC
R12: Move away from flat rate of disbursements	Views sought from PC.
R13: Develop clearer Plans regarding expansion of FCPF program to new countries and criteria for their inclusion	R13 has linkages to R12. FMT Note/ options paper for R12 and R13 for PC10 based on feedback at PC9

Plenary discussion

- PC feedback/ views on these would be useful for FMT in preparing a work plan for addressing relevant recommendations. Key questions
 - Whether you agree with the recommendation and/or preliminary views expressed by the PC Working Group?
 - Additional thoughts on how to operationalize the recommendation?
 - Significance of recommendation to operations of Readiness Fund in the coming years
 - Budgetary implications, if any



*4. FMT proposals on addresssing specific
recommendations as announced in
FMT presentation from PC8 in Dalat*

1. Streamline R-PP review process

Recommendation: Streamline R-PP review process to ensure TAP review comments are timely, and countries have adequate time to address TAP comments and own the document.

PC working group in its deliberations reflected:

- Countries should have at least two weeks to integrate TAP comments prior to submission of their R-PP
- PC members are given adequate time (at least two weeks) prior to the PC meeting to review the latest version of the R-PP

FMT response: The schedule for PC 10 and PC 11 adds 2 weeks to previous schedule. The PC 9 process generally did allow 2 weeks for country revisions.

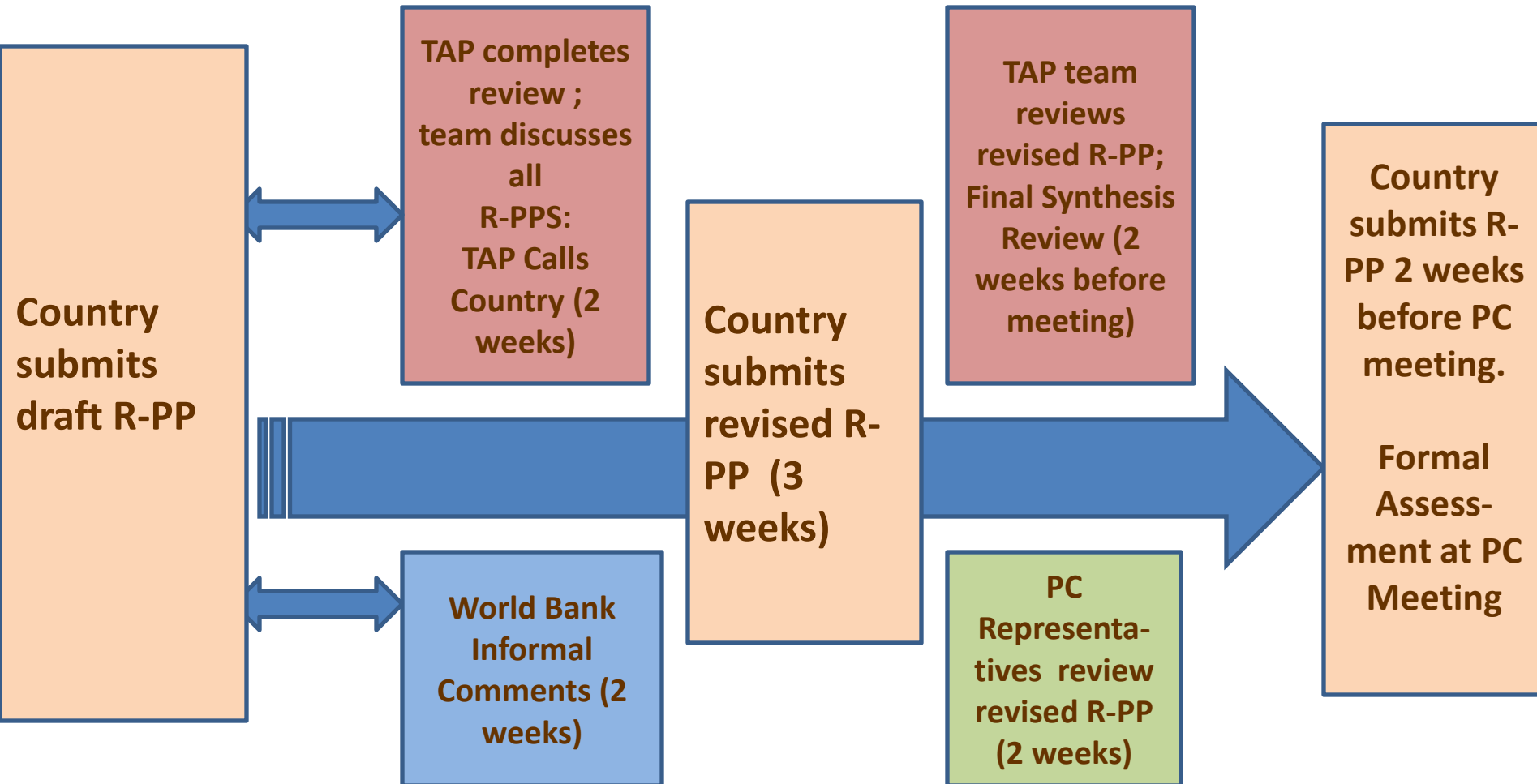
- Informal reviews by the TAP could be reduced to one ... PC should review only one version, ideally after the TAP has completed its review

FMT response: Country submissions of R-PPs are not always timely. The TAP tries to accommodate incomplete drafts, and languages. The TAP now strives to review only 1 initial draft, plus the revised final draft that is posted on the FCPF web.

The new schedule allows the PC to review only 1 version, post-TAP.

R-PP Review Process Cycle

FCPF Experience: Countries Benefit from Early Sharing of Draft R-PPs with TAP, PC + WB. Approx. 10 week cycle



2. Expanded translation of documents

Recommendation: Translation of materials by FCPF in all main languages, including availability of materials for the PC meetings

- Tentative list of documents desirable for translation into French and Spanish:
 - Before the PC meeting
 - PC meeting agenda
 - Issues Notes
 - FMT Notes
 - Country R-PPs (translation to English)
 - After PC meeting
 - TAP Synthesis review of R-PPs (after PC meeting)
 - PC reviews?
 - Resolutions
 - Additional decisions
 - One time translation of Reference documents such as
 - FCPF Charter
 - Information Memorandum

Expanded translation of documents

- **Timing of Translation is key constraint**
 - For translated documents to be available 2 weeks before PC Meeting implies that FMT notes etc. would need to be finalized at least 3 to 4 weeks in advance of PC meeting
 - Challenge to complete translations well in advance given that most of these documents rely on inputs from PC or other sources of information and are subject to change
 - Would also require arrangements with translators to undertake translations on priority basis at short notice
- **Estimated Costs**
 - Annual translation costs@ 3 PC meetings per year including recurrent translation costs + FMT staff time is estimated **at USD 146,600.**
 - Estimates based on translation costs of 25 cents per word for an average of 50 pages per meeting of recurrent type documents (except R-PPs)
 - Also Includes translation costs of 2 R-PPs per meeting and one time translation of FCPF Charter and Information Memorandum

3. Enhancing Communications and Outreach

- **Recommendation:** Develop and implement a communication and outreach strategy to disseminate and package FCPF outcomes more widely for use at country-level, within the WB and to external audiences.
- PC working group has expressed the need to operationalize the recommendation as a priority to enhance the communication and outreach of FCPF support at the global and country level and has discussed the possibility of hiring a full-time member of staff dedicated to FCPF communications
- World Bank Management response also agrees with the need to communicate effectively and disseminate messages emerging from the FCPF and REDD+ internally within the Bank as well as to external stakeholders. Management intends to address this issue and consider a set of actions aimed at effective communication at all levels.

Enhancing Communications and Outreach

- FMT Note 2011-4 provides 2 options for PC consideration and associated budget
 - **Option 1:** Full time communications staff dedicated to FCPF communications – with following proposed work program:
 - Writing and distributing a regular newsletter as well as other articles, news, notes and establishing suitable distribution channels
 - Support and coordination of some marketing for the Readiness Fund and Carbon Fund
 - Working with the Knowledge Management Coordinator as necessary to ensure the dissemination and sharing of appropriate technical REDD+ materials
 - Publication of the FCPF Annual Report and other publications
 - General communications and public relations efforts on behalf of the FCPF and activities to raise the general profile of the FCPF
 - Enhancing internal communication and packaging key messages amongst World Bank staff

Enhancing Communications and Outreach

- **Option 2:** Limited support through short time consultants
 - Writer/editor(s) to write and distribute a regular newsletter and establish suitable distribution channels, plus assistance with promotional materials
 - Either internal or external firm to undertake the website redesign
 - Requires supervision and coordination from existing FMT staff
 - May not fully attain the need for the systematic approach to communications and outreach that the PC working group reflected in its discussions

- Both options include redesign of FCPF website and additional printing of publications and/or additional banners/posters

- Estimated Costs for FY12
 - Option 1: USD 220,000
 - Option 2: USD 75,000

Plenary discussion

Other Actionable Recommendations

Recommendation	Proposed next steps
R1: R-PP review process	FMT proposal at PC9
R2: Translation requirements	FMT proposal at PC9
R3: Further decentralization of FMT support	FMT Note on assessment of status quo needs of countries/ views of Delivery Partners at PC10
R4: Operationalization of M & E Framework	FMT Note on ideas to enhance M& E at PC10
Learning and Capacity building	
R10: Support learning and reflection around the SESA process	Dealt through budget discussion at PC9
R11: Scale up support to regional measures to foster South-South exchange and learning	Some proposals within budget discussions at PC9. Seek feedback at PC9 to identify need for additional proposals.

Plenary discussion

Other Actionable Recommendations

Recommendation	Proposed next steps
Coordination and harmonization of funding sources:	
R14: Foster greater co-operations of bilateral and multilateral partners at the country level	PC WG expressed these as country led efforts. Additional views sought from PC
R15: Continue efforts through the task force on multi delivery partners to identify DP outside the WB	Being addressed through the Task Force (ongoing)
R17: Continue to strengthen coordination with UNREDD. Jointly resolve differences including with regard to advise given on implementation of social safeguards	Safeguards coordination addressed in part through the common approach discussion FMT to take stock after adoption of common approach. Additional views sought from PC
R18: Strengthen alignment and harmonization of FCPF with other multi/bilateral sources	PC WG proposed exchange of experiences between countries Additional views sought from PC
R19: Communication and outreach	FMT proposal at PC9

Plenary discussion

Other Actionable Recommendations

Recommendation	Proposed next steps
R20: Consider in coordination with other REDD related funding mechanisms, measures to strengthen participation of private sector in REDD plus	PC WG did not envisage further steps at this stage beyond communication and outreach strategy Additional views sought from PC
R21: Reflection of minimum readiness triggers required to access the Carbon Fund	Discussions commenced at PC9 WG urged completion of work in time for PC11.
R9: Focus capacity building efforts around early building blocks of readiness process	To be discussed as part of R21 above.
R23: Ensure during the operationalization phase of the Carbon Fund that it is building on the lessons of the preparation phase particular in respect to due diligence requirements	PC WG viewed no action at this stage beyond ongoing dialogue through the agenda on Multiple Delivery Partners.



THANK YOU!

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